

32692

Customer Number



Patent
Case No.: 58973US003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CARPENTER, JAMES B.

Application No.: 10/790926

Group Art Unit: 2874

Filed: March 2, 2004

Examiner: Unknown

Title: DEVICE FOR GRIPPING OPTICAL FIBERS

REQUEST AND FEE TO CORRECT INVENTORSHIP UNDER 37 CFR § 1.48(a)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
9/22/04	
Date	Signed by: Gregg H. Rosenblatt

Dear Sir:

Applicant requests that Sidney J. Berglund and George W. Parrett be added as inventors in the above-identified application.

Also enclosed is:

- ☒ Statement of Added Inventors Sidney J. Berglund and George W. Parrett Under 37 CFR § 1.48(a)(2);
- ☒ Declaration and Power of Attorney executed by the inventors as required by 37 CFR § 1.48(a)(3);
- ☒ Consent of Assignee to Change of Inventorship Under 37 CFR § 1.48(a)(5);
- ☒ Statement under 3.73(b); and
- ☒ Newly executed Assignment and Recordation Cover Sheet.

Please charge the fee provided in 37 CFR § 1.17(i) and if necessary, charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

9/22/04
Date

By:
Gregg H. Rosenblatt, Reg. No. 45,056
Telephone No.: (512) 984-7443

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

09/27/2004 MAHMED1 00000016 133723 10790926
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First Named Inventor: CARPENTER, JAMES B.

Application No.: 10/790926

Group Art Unit: 2874

Filed: March 2, 2004

Examiner: Unknown

Title: DEVICE FOR GRIPPING OPTICAL FIBERS

STATEMENT OF ADDED INVENTOR SIDNEY J. BERGLUND UNDER 37 C.F.R. § 1.48(A)(2)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The accompanying Request Under 37 C.F.R. § 1.48 to Correct Inventorship names me as an added inventor. The error in naming inventorship in the above-referenced patent application occurred without deceptive intent on my part.

Sep 21, 04

Date

By:

Sidney J. Berglund
Sidney J. Berglund

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

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Patent
Case No.: 58973US003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CARPENTER, JAMES B.

Application No.: 10/790926

Group Art Unit: 2874

Filed: March 2, 2004

Examiner: Unknown

Title: DEVICE FOR GRIPPING OPTICAL FIBERS

STATEMENT OF ADDED INVENTOR GEORGE W. PARRETT UNDER 37 C.F.R. § 1.48(A)(2)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The accompanying Request Under 37 C.F.R. § 1.48 to Correct Inventorship names me as an added inventor. The error in naming inventorship in the above-referenced patent application occurred without deceptive intent on my part.

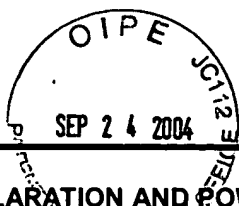
9-22-2004

Date

By:

George W. Parrett

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833



**DECLARATION AND POWER OF ATTORNEY,
FOR UTILITY OR DESIGN
PATENT APPLICATION (37 CFR § 1.63)**

☐ Declaration Submitted with Initial Filing ☒ Declaration Submitted after Initial Filing (surcharge 37 CFR § 1.16(e) required)

Case No:	58973US003
First Named Inventor:	JAMES B. CARPENTER
COMPLETE IF KNOWN	
Application No.:	10/790926
Filing Date:	March 2, 2004
Art Unit:	
Examiner Name:	

As a below named inventor, I hereby declare that my residence, mailing address, and citizenship are as stated below next to my name, and that I believe I am an original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DEVICE FOR GRIPPING OPTICAL FIBERS

The specification of which

☐ is attached hereto;

☒ was filed on **March 2, 2004**

As United States Application No.

10/790926

☐ is identified as PCT International Application No.
filed on

and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 USC §§ 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application No.	Country	Foreign Filing Date (MM/DD/YYYY)	Priority NOT Claimed	Certified Copy Attached	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I hereby appoint Practitioners at Customer Number 32692 as my attorneys and/or agents with full powers (including the powers of appointment, substitution, and revocation) to prosecute this application and any division, continuation, continuation-in-part, reexamination, or reissue thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith.

**Customer Number for
Practitioner of Record: 32692**

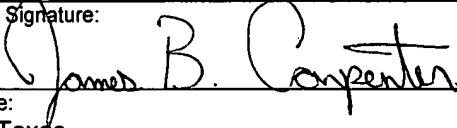
I also appoint the following Practitioners as my attorneys and/or agents with full powers (including the powers of appointment, substitution, and revocation) to prosecute this application and any division, continuation, continuation-in-part, reexamination, or reissue thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith: None

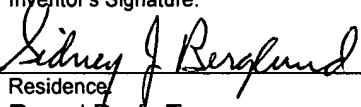
The mailing address and the telephone number of the above-identified attorneys and/or agents are that of Customer No. 32692.

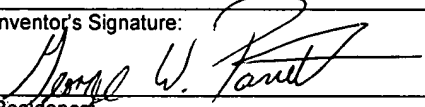
Inquiries regarding this application can be made to:

Attention: Gregg H. Rosenblatt
Office of Intellectual Property Counsel
3M Innovative Properties Company
Telephone No.: (512) 984-7443

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): JAMES B.		Family Name or Surname: CARPENTER
Inventor's Signature: 		Date: 7/28/04
Residence: Austin, Texas	Country: USA	Citizenship: United States of America
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		

NAME OF JOINT INVENTOR, IF ANY:		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): SIDNEY J.		Family Name or Surname: BERGLUND
Inventor's Signature: 		Date: 7/28/04
Residence: Round Rock, Texas	Country: USA	Citizenship: United States of America
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		

NAME OF JOINT INVENTOR, IF ANY:		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): GEORGE W.		Family Name or Surname: PARRETT
Inventor's Signature: 		Date: 7/28/04
Residence: Austin, Texas	Country: USA	Citizenship: United States of America
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		

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Patent
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First Named Inventor: CARPENTER, JAMES B.

Application No.: 10/790926

Group Art Unit: 2874

Filed: March 2, 2004

Examiner: Unknown

Title: DEVICE FOR GRIPPING OPTICAL FIBERS

CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP UNDER 37 CFR § 1.48(a)(5)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

9/22/04
Date

Gregg H. Rosenblatt
Signed by: Gregg H. Rosenblatt

Dear Sir:

3M Innovative Properties Company, the assignee of the entire right, title, and interest in U.S. Patent Application No. 10/790926, hereby consents to the accompanying Request and Fee to Correct Inventorship Under 37 CFR § 1.48(a).

Respectfully submitted,

9/22/04
Date

By: Gregg H. Rosenblatt
Gregg H. Rosenblatt, Reg. No. 45,056
Telephone No.: (512) 984-7443

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

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9/22/04	
Date	Signed by: Gregg H. Rosenblatt

STATEMENT UNDER 37 CFR 3.73(b)

The undersigned states that 3M Innovative Properties Company, a corporation organized and existing under the laws of the State of Delaware, United States of America, is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest in the patent application/patent identified above. The extent (by, percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015052, Frame 0503, or for which a copy thereof is attached.

The undersigned Practitioner of Record is authorized to act on behalf of the assignee.

9/22/04
Date

By:
Gregg H. Rosenblatt, Reg. No.: 45,056
Telephone No.: (512) 984-7443

Attachment: Power of Attorney to Prosecute Applications Before the USPTO and
Correspondence Address Indication Form

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO
And
CORRESPONDENCE ADDRESS INDICATION FORM**

I hereby appoint:

☒ Practitioners at Customer Number



as attorney(s) or agents(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b)

Please recognize or change the correspondence address to the address of the Assignee at:

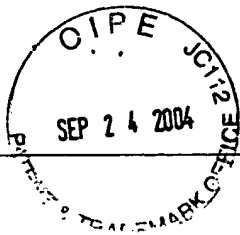
☒ The above-mentioned Customer Number

A copy of this form, together with a statement under 37 CFR 3.73(b) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Carolyn A. Bates		
Signature	<i>Carolyn A. Bates</i>	Date	<i>Feb. 3, 2004</i>
Title	Secretary, 3M Innovative Properties Company	Telephone	651-733-1523



Duplicate

Case No.: 58973US003

RECORDATION FORM COVER SHEET PATENTS ONLY

To: The Director of the U.S. Patent and Trademark Office:

Please record the attached document(s) or the new address(es) below.

1. Name of conveying party(ies)**Execution Date(s):**Carpenter, James B.
Berglund, Sidney J.
Parrett, George W.July 28, 2004
July 28, 2004
July 28, 2004**2. Name and address of receiving party(ies):**3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

Additional name(s) of receiving party(ies) attached?

☐ Yes ☒ No**3. Nature of conveyance:**☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:**4. Application or patent number(s)**☐ This document is being filed together with a new application.**A. Patent Application No(s).**

10/790926

B. Patent No(s).Additional Numbers Attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: Gregg H. Rosenblatt
Office of Intellectual Property Counsel
3M Innovative Properties Company
Phone Number: (512) 984-7443
Fax Number: 651-736-3833**32692**

Customer Number

6. Total number of applications and patents involved:**1****7. Total fee (37 CFR §§ 1.21(h) & 3.41):****\$40.00**☐ Enclosed☒ Authorized to be charged to deposit account**8. Payment Information:****Deposit Account Number: 13-3723****Authorized User Name: Gregg H. Rosenblatt****9. Signature:**Printed Name of Attorney/Agent:
Gregg H. Rosenblatt
Registration No.: 45,056

Signature

Date

Pages: Total number of pages including COVER SHEET, attachments, and documents**3**Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450